

## ICC-ES PMG Application for Listing

**1. CHECK ONE OR MORE OF THE FOLLOWING:**

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> New (initial request)  | <input type="checkbox"/> Technical revision to current listing | <input type="checkbox"/> Editorial revision to current listing               |
| <input type="checkbox"/> Renewal  | <input type="checkbox"/> Add models to current listing         | <input type="checkbox"/> Private Label Listing (Fill out items 2, 11 and 12) |
| <input type="checkbox"/> Other(s): _____<br><small>checked, attach separate sheets to write down the name of the additional listee(s) and to cross-reference model numbers between the listed models and models of the additional listees.)</small> |  | <input type="checkbox"/> Additional Listee(s); (If "Additional Listee(s)" is |

2. COMPANY NAME \_\_\_\_\_ Listing No.: \_\_\_\_\_  
(exactly as it should appear in the published listing) (if known)

COMPANY LEGAL STATUS \_\_\_\_\_ WEB SITE \_\_\_\_\_  
(sole proprietorship, general or limited partnership, S or C corporation, LLC, etc.)

MAILING ADDRESS \_\_\_\_\_  
Street address (exactly as it should appear in listing)

CITY/STATE/ZIP/COUNTRY (if other than USA) \_\_\_\_\_

3. PRODUCT DESCRIPTION \_\_\_\_\_

4. PRODUCT NAME AND MODEL \_\_\_\_\_  
(as it should appear in the listing; attach a separate sheet if necessary)

5. APPLICATION FOR RECOGNITION OF:  
 Product       Material       Component       Other: \_\_\_\_\_

6. IF THIS IS OTHER THAN AN INITIAL REQUEST:

a. Are there changes in the product formulation, raw material specifications, suppliers of raw materials, manufacturing method, manufacturer, or in the documented quality control system from what was originally submitted or from the last time ICC-ES reviewed the document?       No       Yes (If yes, explain on a separate sheet)

b. Are you aware of any complaints, or legal actions pending, related to code compliance, about the product(s) covered by the listing?       No       Yes (If yes, explain on a separate sheet)

7. STANDARD AGAINST WHICH PRODUCT IS TO BE REVIEWED (IF KNOWN): \_\_\_\_\_

8. INDICATE CODE(S) FOR WHICH THE PRODUCT IS TO BE EVALUATED:

<input type="checkbox"/> International Plumbing Code®	<input type="checkbox"/> International Mechanical Code®	<input type="checkbox"/> International Residential Code®
<input type="checkbox"/> International Fuel Gas Code®	<input type="checkbox"/> Uniform Plumbing Code	<input type="checkbox"/> Uniform Mechanical Code
<input type="checkbox"/> National Plumbing Code of Canada	<input type="checkbox"/> Other Code(s): _____	

9. Name and title of applicant's technical representative (if any) \_\_\_\_\_  
 Address (if different from address above) \_\_\_\_\_  
 Phone (\_\_\_\_\_) \_\_\_\_\_ Fax (\_\_\_\_\_) \_\_\_\_\_ E-mail address \_\_\_\_\_

10. Please list all facilities that will manufacture products under the listing. Attach separate sheet if necessary. Each facility must comply with the "MINIMUM REQUIREMENTS OF QUALITY SYSTEM DOCUMENTATION"

Manufacturer's name (if different) \_\_\_\_\_  
 Contact name and title \_\_\_\_\_  
 Phone/Fax/E-mail \_\_\_\_\_  
 Street address of manufacturing facility \_\_\_\_\_  
 City/state/zip \_\_\_\_\_  
 Normal hours of operation \_\_\_\_\_  
 Anticipated plant closing days \_\_\_\_\_  
 Can English language be used for inspection?     Yes     No (If no, please specify language) \_\_\_\_\_

File No.:	<i>For ICC-ES Internal Use</i>	Application Reviewed by:
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**11. Private Label Listing Applicant Only:**

- a) Private Label Listing Applicant fills out and signs item 12.
- b) Attach separate sheet to cross-reference model numbers between the listed models of the primary listee and the models of the Private Label Listing.
- c) Corporate officer, partner, or sole proprietor of the PRIMARY LISTEE signs item 11 consenting to the request of the Private Listing Applicant.
- d) Fill out the following information regarding the PRIMARY LISTEE:

Primary Listee Name \_\_\_\_\_ Listing No.: \_\_\_\_\_

Primary Listee Phone/Fax/E-mail \_\_\_\_\_

Primary Listee Mailing Address \_\_\_\_\_

City/State/Zip \_\_\_\_\_

PRIMARY LISTEE: \_\_\_\_\_  
SIGNATURE NAME (type or print) TITLE

**12. Applicant agrees to the following conditions:**

- a. Applicant acknowledges reviewing applicable ICC-ES Fee Schedule and Rules of Procedure. Applicant also agrees that ICC-ES may, as necessary, subcontract for work related to the listing process to other companies.
- b. In consideration of the processing of this application, the applicant agrees to abide by any conditions attached to the approval of this application, the requirements of the applicable standards and codes, and the Rules of Procedure of ICC Evaluation Service, Inc., as they now exist and as they may be reasonably modified in the future.
- c. The applicant agrees to keep a record of all significant complaints made known to the applicant about the product(s) covered by the listing, and to make these records available to ICC-ES upon request. The applicant will take appropriate action with respect to such complaints, and document the actions taken.
- d. An ICC-ES listing does not imply any guarantee or warranty (expressed or implied, and including but not limited to merchantability) by ICC-ES against defects or failures in service nor any responsibility in regard to patent or trademark infringement, misuse of trade name or trade secrets, or any other aspect of unfair competition. Affirmative actions of ICC-ES are based primarily on the data submitted by the applicant and/or listee and the validity and integrity thereof as implicitly represented by the applicant and/or listee in submitting the same. Applicant agrees that it shall have no cause of action or claim against ICC-ES or its parent corporation, the International Code Council, Inc., or the officers, directors, members and employees of either entity from time to time arising out of any listing issued pursuant to this application, whether or not such listing is subject to conditions, or out of any denial of this application. Applicant agrees to hold ICC-ES, its parent corporation, the International Code Council, Inc., or any of their affiliates, parent, brother or sister corporations or their successor-in-interest or assigns, and the officers, directors, members, and employees of such entities ("ICC" collectively throughout this paragraph) harmless, and to defend and indemnify them, with respect to any claim, liability, action or judgment arising from the use or operation by any person of the product or service to which the application relates, actual or asserted, whether related to the matters set forth in the first sentence of this paragraph or otherwise, whether for personal injury, wrongful death, property damage, or any type of injury or damage whatsoever, whether or not of the same kind or nature as any of the foregoing. ICC's rights pursuant to the foregoing sentence, and applicant's obligations thereunder, shall apply whether or not it is claimed that ICC was concurrently negligent with others, solely negligent, actively or passively negligent, and whether or not the legal theory of the claimant(s) is on one of the foregoing grounds or some other. California law shall apply to the interpretation hereof. If any part or portion of this paragraph, or any application thereof to particular facts, should be determined invalid, the provisions hereof shall be severable so as to achieve for ICC the maximum legal protection. If this application is for a renewal of an existing listing, the provisions of this paragraph shall apply from the date of first granting of that listing, whether upon application or without application by applicant or a predecessor and regardless of: intervening modifications to said listing or modifications pursuant to application for renewal; any prior change in the number assigned to the listing; and any prior change in ownership rights in or rights to said listing, or any additional listing included in the listing, whether one or more, since granting of said first additional listing.

Indicate below the person who is to receive invoices:

\_\_\_\_\_  
Authorized Signature for Applicant, and Date

\_\_\_\_\_  
Name and Title (type or print)

\_\_\_\_\_  
Name/Title (type or print)

\_\_\_\_\_  
Address

\_\_\_\_\_  
Phone/Fax

\_\_\_\_\_  
Phone/Fax

\_\_\_\_\_  
E-mail

\_\_\_\_\_  
E-mail

If possible, one copy of all supporting data should be submitted, to the Los Angeles Business/Regional office, with this application. A check or money order in U.S. dollars, covering the full application fee and made out to "ICC Evaluation Service," must accompany the application. The fee is nonrefundable. Applicants for new ICC-ES listings should note that a qualifying on-site inspection will be required, in accordance with applicable ICC-ES Rules of Procedure. The applicant must reimburse ICC-ES for expenses incurred in performing such inspections. Reimbursable expenses include, but are not limited to, travel expenses and staff time.


COMPANY NAME \_\_\_\_\_ Listing/File No.: \_\_\_\_\_

ADDRESS \_\_\_\_\_

CONTACT NAME: \_\_\_\_\_

PHONE/FAX/EMAIL: \_\_\_\_\_

Information submitted with this application:

	Included	Will send later	N/A	Need Help*	(TO BE FILLED IN BY ICC-ES STAFF)
<b>Test Report</b> Note: Samples for testing and examination shall be selected in accordance with the specified relevant requirements. They shall be the representative of the models to be listed and made using components and subassemblies identical to those used in production. Samples selected shall also be made from production tools and assembled using methods established for the production run.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Does the test report clearly indicate models to be listed and show satisfactory compliance to the correct standard and the correct year? <input type="checkbox"/> Yes <input type="checkbox"/> No Write the standard no.: _____ Comment: _____ Is the lab accredited by IAS or equivalent, or is it a nonaccredited lab that has been qualified by ICC-ES? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____ If yes, does the lab's scope of accreditation extend to testing per the appropriate standard(s) or listing criteria? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____
Fee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Is the fee amount correct? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____
Technical Drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Do the drawings show sufficient detail? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____
Drawing that shows method, content and location of markings as required by the standard, and the location of <a href="#">ICC-ES Certification Mark</a> as shown on the last column on the right.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Is the drawing legible? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____ 
Engineering Calculations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Are the calculations acceptable? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____
Representative sample <input type="checkbox"/> Product currently in production <input type="checkbox"/> Prototype	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Is the sample acceptable? Is it properly marked? Does it meet the requirements of the standard and code? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____
Installation instructions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Does the installation violate the code(s)? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____
Quality System (Required for new listee, or current listee with new manufacturing locations)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Has inspection been performed? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, does the qualifying inspection report show satisfactory results (Form 11)? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____
Product brochure/literature	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Is there any misuse of the ICC-ES Certification Mark? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____
Partnership agreement with EPA (For EPA's WaterSense applicant)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Has the listee signed the agreement with EPA? <input type="checkbox"/> Yes <input type="checkbox"/> No Comment: _____
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____ _____ Comment: _____

\*ICC-ES staff will contact you about items where you need help.

## MINIMUM REQUIREMENTS OF MANUFACTURER'S QUALITY SYSTEM DOCUMENTATION

The items shown below were extracted from the actual Qualifying Inspection Form (Form 11). This is the form that will be used by ICC-ES's inspector during the qualifying inspection at each manufacturing location that will manufacture listed product(s). The inspector will verify that each item, in the list below, is properly addressed and effectively implemented. Use items below as a guide for meeting ICC-ES's minimum requirements for a manufacturer's quality system documentation. Failure to meet these requirements may delay issuing of a listing for your product(s).

[Note: Shaded areas are for ICC-ES use during qualifying inspection]		
	WHERE IN MANUFACTURER'S QUALITY SYSTEM DOCUMENTATION	EFFECTIVELY IMPLEMENTED?
1. Signature of authorized representative, and date		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
2. Manufacturing or final assembly location and contact information		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
3. Revision dates to show that the quality system documentation is reviewed periodically		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
4. Product identification (method, content and location of markings as required by the standard and the listing)		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
5. Organizational information (organizational chart; duties and responsibilities of key personnel)		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
6. Packaging and storage, if critical to product performance		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
7. Complaints procedure and complaints record of listed products; records of actions taken addressing such complaints		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
8. Incoming materials (test procedures and/or the conditions of acceptance for incoming materials). Certification by supplier is permitted		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
9. Final inspection (test procedures and/or the conditions of acceptance for final product before it is released for shipment carrying the ICC-ES listing mark)		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
10. Identification of test equipment used to determine whether products and materials meet minimum specifications		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
11. Calibrations (procedure for, and records showing, frequency of equipment calibration, and the means of determining the traceability of measurements to national standards)		<input type="checkbox"/> yes <input type="checkbox"/> no
If no, comments:		
12. Records retention (a minimum of two years records retention is required by ICC-ES)		<input type="checkbox"/> yes <input type="checkbox"/> no